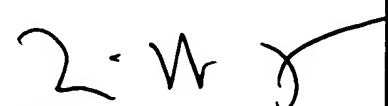


FORM PTO-1390 (REV 07-06)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 14618.0001USWO
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) <b>10/590429</b> UNKNOWN
INTERNATIONAL APPLICATION NO. PCT/CN2006/000678	INTERNATIONAL FILING DATE 14 April 2006	PRIORITY DATE CLAIMED 14 April 2006	
TITLE OF INVENTION SELF-LOCKING MECHANISM OF MANUALLY TIGHTENED DRILL CHUCK			
APPLICANT(S) FOR DO/EO/US HU et al			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).</li> <li>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>			
Items 11. to 20. below concern document(s) or information included:			
<ol style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li> <li>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li> <li>15. <input checked="" type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).</li> <li>20. <input checked="" type="checkbox"/> Other items or information: Marked-up Copy of Substitute Specification, Form PCT/RO/105, PCT Request Form, Communication Regarding Submission of Priority Document, Formal Drawings (5 Sheets)</li> </ol>			

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) Unknown <b>10/590429</b>		INTERNATIONAL APPLICATION NO. PCT/CN2006/000678		ATTORNEY'S DOCKET NUMBER 14618.0001USWO	
<b>BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(5)):</b>					
[X] a) Basic National fee (37 CFR 1.492(a)).....\$300.00				\$300.00	
[X] b) Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....\$0 All other situations.....\$200.00				\$200.00	
[X] c) Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....\$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100.00 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB.....\$400.00 All other situations.....\$500.00				\$500.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1000.00</b>	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	Rate		
14	-100 = 0	/50 =	X \$250.00	\$0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	9	-20 = 0	X \$50.00	\$0.00	
Independent claims	2	-3 = 0	X \$200.00	\$0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$0.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1000.00</b>	
Reduction by 1/2 for filing by small entity, if applicable. Small entity status is claimed pursuant to 37 CFR 1.27				\$500.00	
<b>SUBTOTAL =</b>				<b>\$500.00</b>	
Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+ \$0.00	
<b>TOTAL NATIONAL FEE =</b>				<b>\$500.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+ \$0.00	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$500.00</b>	
				Amount to be refunded:	\$0.00
				charged	\$0.00
a. [X] Check(s) in the amount of \$500.00 to cover the above fees is enclosed.					
b. [ ] Please charge my Deposit Account No. in the amount of \$ to cover the above fees.					
c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. .					
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>					
SEND ALL CORRESPONDENCE TO: Brian H. Batzli MERCHANT & GOULD P.O. Box 2903 Minneapolis, MN 55402-0903					
SIGNATURE:  NAME: Brian H. Batzli REGISTRATION NUMBER: 32,960					

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

AP9 Rec'd PCT/PTO 23 AUG 2006

**Applicant:** HU et al

Docket: 14618.0001USWO

**Title:** SELF-LOCKING MECHANISM OF MANUALLY TIGHTENED DRILL CHUCK

**CERTIFICATE UNDER 37 CFR 1.10:**

**"Express Mail" mailing label number: EV 628409216 US**

Date of Deposit: 23 August 2006

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop: PCT, Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450.

**By:**

Name John J. Jones

**Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450**

Sir:

**We are transmitting herewith the attached:**

- ☒ Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.10.
- ☒ National Stage PCT /CN2006/000678 Patent Application:  
Substitute Specification. 6 pgs; 9 claims; Abstract 1 pgs.  
The fee has been calculated as shown below in the 'Claims as Filed' table.
- ☒ 5 sheets of formal drawings
- ☒ Form 1390
- ☒ Certified copy of a China application, Serial No. PCT/CN2006/000678, filed 14 April 2006, the right of priority of which is claimed under 35 U.S.C. 119
- ☒ Small entity status is claimed pursuant to 37 CFR 1.27
- ☒ A signed Combined Declaration and Power of Attorney
- ☒ A check in the amount of \$500.00 to cover the Filing Fee
- ☒ Application Data Sheet, 4 pages.
- ☒ Other: Marked-up Copy of Substitute Specification, Chinese Patent Application with English Translation, Form PCT/RO/105, Copy of PCT Request Form, Communication Regarding Submission of Priority Document
- ☒ Return postcard

## CLAIMS AS FILED

CLAIMS AS FILED								
Number of Claims Filed	No.	In Excess of		Extra		Rate		Fee
Total Claims	9	20		0		25.00	=	0.00
Independent Claims	2	3		0		100.00	=	0.00
Multiple Dependent Claims Fee							=	0.00
Basic Filing Fee							=	150.00
Search Fee							=	250.00
Examination Fee							=	100.00
Utility Application Size Fee	14	100		0		125.00	=	0.00
Total							=	500.00

Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

Merchant & Gould P.C.  
P.O. Box 2903 Minneapolis, MN 55402-0903  
612.332.5300

By:

Name: Brian H. Batzli

Reg. No.: 32,960

Initials: BHB/cjc

**23552**

PATENT TRADEMARK OFFICE

(PTO TRANSMITTAL - NEW FILING)